

Aryakube Capital Private Limited(ACPL)

Non-Individual Loan Application Form

Loan Tracker No.

Application No.

I/we hereby wish to apply for the following credit facility/facilities

Application Date:

Sr. No.	Type of facility	Amount (Rs. in crores)	Purpose
1.			
2.			
3.			
4.			

DETAILS OF APPLICANT ENTITY (Please fill it in capital letters. Fields marked with* are mandatory)

PARTICULARS																			
*Name of Entity	<input type="text"/>																		
*Constitution	<input type="checkbox"/> Sole Proprietorship <input type="checkbox"/> Partnership <input type="checkbox"/> Private Limited Company <input type="checkbox"/> Public Limited Company <input type="checkbox"/> Limited Liability Partnership <input type="checkbox"/> HUF <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other institutions.																		
*Nature of Business Activity	<input type="checkbox"/> Manufacturing <input type="checkbox"/> Trading <input type="checkbox"/> Service Sector <input type="checkbox"/> Builders / Contractors																		
*Name of Key Persons (Kindly submit Individual details in the separate form provided)	<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 70%;">Name</th> <th style="width: 30%;">Role (Director/Trustee/ Proprietor/ Partner/Karta HUF/ Office Bearer)</th> </tr> </thead> <tbody> <tr> <td style="text-align: center;">K E Y P E R S O N 1</td> <td></td> </tr> <tr> <td style="text-align: center;">K E Y P E R S O N 2</td> <td></td> </tr> <tr> <td style="text-align: center;">K E Y P E R S O N 3</td> <td></td> </tr> <tr> <td style="text-align: center;">K E Y P E R S O N 4</td> <td></td> </tr> <tr> <td style="text-align: center;">K E Y P E R S O N 5</td> <td></td> </tr> <tr> <td style="text-align: center;">K E Y P E R S O N 6</td> <td></td> </tr> </tbody> </table>	Name	Role (Director/Trustee/ Proprietor/ Partner/Karta HUF/ Office Bearer)	K E Y P E R S O N 1		K E Y P E R S O N 2		K E Y P E R S O N 3		K E Y P E R S O N 4		K E Y P E R S O N 5		K E Y P E R S O N 6					
Name	Role (Director/Trustee/ Proprietor/ Partner/Karta HUF/ Office Bearer)																		
K E Y P E R S O N 1																			
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K E Y P E R S O N 3																			
K E Y P E R S O N 4																			
K E Y P E R S O N 5																			
K E Y P E R S O N 6																			
*Mobile No.	+ <input type="text"/> - <input type="text"/>																		
*Email ID	<input type="text"/>																		
Telephone Office	<input type="text"/> - <input type="text"/>																		
*PAN	<input type="text"/>																		
CIN	<input type="text"/>																		
*Date of Incorporation	<input type="text"/>																		
*Date of commencement of business	<input type="text"/>																		
Category of unit	<input type="checkbox"/> Micro <input type="checkbox"/> Small <input type="checkbox"/> Medium <input type="checkbox"/> Large																		
MSME	<input type="checkbox"/> Yes <input type="checkbox"/> No																		
Udyam Registration No. (for MSME)	<input type="text"/>																		
GST Registration No.	<input type="text"/>																		
Legal Entity Identifier (LEI)	<input type="text"/>																		
Import Export Code (IEC)	<input type="text"/>																		
*Registered Office Address	<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 80%;">Unit/Office No.</td> <td><input type="text"/></td> </tr> <tr> <td>Building Name</td> <td><input type="text"/></td> </tr> <tr> <td>Street Name</td> <td><input type="text"/></td> </tr> <tr> <td>Landmark</td> <td><input type="text"/></td> </tr> <tr> <td>City</td> <td><input type="text"/></td> </tr> <tr> <td>District</td> <td><input type="text"/></td> </tr> <tr> <td>State</td> <td><input type="text"/></td> </tr> <tr> <td>Country</td> <td><input type="text"/></td> </tr> <tr> <td></td> <td style="text-align: right;">Pin <input type="text"/></td> </tr> </table>	Unit/Office No.	<input type="text"/>	Building Name	<input type="text"/>	Street Name	<input type="text"/>	Landmark	<input type="text"/>	City	<input type="text"/>	District	<input type="text"/>	State	<input type="text"/>	Country	<input type="text"/>		Pin <input type="text"/>
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District	<input type="text"/>																		
State	<input type="text"/>																		
Country	<input type="text"/>																		
	Pin <input type="text"/>																		

Factory / Godown Address	
Unit/Office No.	
Building Name	
Street Name	
Landmark	
City	
District	
State	
Country	Pin
*Ownership of office / factory / godown	<input type="checkbox"/> leasehold <input type="checkbox"/> freehold <input type="checkbox"/> rental
Trade Reference 1	Name <input type="text"/> Mobile No. + <input type="text"/> - <input type="text"/> Email ID: <input type="text"/>
Trade Reference 2	Name <input type="text"/> Mobile No. + <input type="text"/> - <input type="text"/> Email ID: <input type="text"/>

DECLARATION

I/We on behalf of Entity hereby declare that

- I/We on behalf of Entity agree to abide by the Bye-Laws, rules, regulations, procedures and Statute as applicable from time to time of the ACPL and the act or rules in force or which may come into effect hereinafter.
- I/We on behalf of Entity declare that there are no restrictions whether legal or judicial on us for availing loan facility from the ACPL and the security provided by us to the ACPL are free from all encumbrances and charges.
- I/We on behalf of Entity have not taken any loan from any other bank/financial institutions unless specifically declared by me/us.
- I/We on behalf of Entity have read the application form, annexures and brochures and are aware of all the terms and conditions of availing loan from Aryakube Capital Private Limited.
- All the particulars and information given above are correct and complete and I/We on behalf of Entity have not withheld any information. I/We on behalf of Entity hereby declare that the ACPL will not be held responsible in case I/We on behalf of Entity have misrepresented any facts.
- I/We on behalf of Entity agree to abide by all the terms and conditions applicable to members/borrowers/customers of the ACPL, and any modifications made therein from time to time.
- I/We on behalf of Entity agree and accept that I / we have been handed over/ emailed a copy of the Most Important Terms and Conditions (MITC) and have read and understood the same along with all the general terms & conditions mentioned in this form and on ACPL website-www.aryakubecapital.in.
- I/We hereby authorise Aryakube Capital Private Limited to access for the purposes of its review, all records from the CKYCR, PAN and UIDAI databases for the Entity, it's Authorised Signatories and it's Beneficial Owners from time to time as may be required.
- I/We hereby declare that we are proficient in English and that all correspondence/agreements with ACPL can be in English.

 Signature with stamp		Date	<input type="text"/>
Name of Non Individual Entity	<input type="text"/>	Place	<input type="text"/>

FATCA /CRS Declaration Form - (Non-Individual)

To:Aryakube Capital Private Limited(ACPL)

Customer ID*/Account No*: _____

Name: _____

* One Input is mandatory

- (a) Is the account holder a Government Body/ International Organisation/listed on any recognised stock exchange. Yes No
 (If yes and you are listed please specify the name of the stock exchange, , If no proceed to point (b)),
- (b) Is the account holder (Entity/Financial Institution) tax resident of any country other than India Yes No
 (If yes pls fill FATCA/CRS self-certification), If no proceed to point (c)),
- (c) Is the account holder an Indian Financial Institution Yes No
 (If yes please provide your GIIN,if any, If no proceed to point (d)),
- (d) Are Substantial owners or controlling persons in the entity or chain of ownership resident for tax purpose in any country outside India or not an Indian Citizen. Yes No
 (If yes than please fill of FATCA/ CRS self-certification),If no pls sign the declaration).

Customer Declaration

(I)Under penalty of perjury, I/We certify that:

1. The applicant is (i) an applicant taxable as a US person under the laws of the United States of America (“U.S.”) or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate, the income of which is subject to U.S. Federal Income tax regardless of the source thereof, or (This clause is applicable only if the account holder is identified as a U.S. person)
2. The applicant is taxable as a tax resident under the laws of the country outside India (This clause is applicable only if the account holder is a tax resident outside of India)

(II) I/We understand that the ACPL is relying on this information for the purpose of determining the status of the applicant in compliance with FATCA/CRS. The ACPL is not able to offer any tax advice on FATCA or CRS or its impact on the applicant. I/We should seek advice from professional tax advisor for any tax questions

(III) I/We agree to submit a new form within 30 days if any information or certification on this form becomes incorrect

(IV) I/We agree that as may be required by regulatory authorities, the ACPL may also be required to report reportable details to CBDT or close or suspend my account

(V) I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct, and complete including the taxpayer identification number of the applicant.

Name of the Entity	
Signature 1	Signature 2
Signature 3	(As per MOP)
Date (DD/MM/YYYY) :	

To: Aryakube Capital Private Limited (ACPL)

Self-Certification Form (Entity) for Foreign Account Tax Compliance Act (“FATCA”) and Common Reporting Standards (CRS)

Customer ID*/Account No* _____

* One Input is mandatory

Section 1: Entity information	
Name of Entity	
Customer id (if existing)	
Entity Constitution Type <i>(Refer Appendix 2)</i>	
Entity Identification type <i>(Refer Appendix 2)</i>	<input type="checkbox"/> T <input type="checkbox"/> G <input type="checkbox"/> C <input type="checkbox"/> E <input type="checkbox"/> O
Entity Identification No <i>(based on entity identification type)</i>	
Entity Identification issuing country	
Country of Residence for tax purpose	

Section 2: Classification of Non-Financial entities																															
I/We (on behalf of the entity) certify that the entity is:																															
a) An entity incorporated and taxable in US (Specified US person) <i>If “Yes”, please provide your U.S. Taxpayer IdentificationNumber (TIN)</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No <table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td colspan="10" style="text-align: center;">TIN</td> </tr> <tr> <td style="width: 20px;"> </td> <td style="width: 20px;"> </td> <td style="width: 20px;">-</td> <td style="width: 20px;"> </td> </tr> <tr> <td colspan="5" style="text-align: center;">Yes</td> <td colspan="5" style="text-align: center;">No</td> </tr> </table>	TIN												-								Yes					No				
TIN																															
		-																													
Yes					No																										
b) An entity incorporated and taxable outside of India (other than US) <i>If “Yes”, please provide your TIN or its functional equivalent</i> <i>Provide your TIN issuing country</i> _____	<input type="checkbox"/> TIN <input type="checkbox"/> <table border="1" style="margin-left: auto; margin-right: auto;"> <tr> <td style="width: 20px;"> </td> <td style="width: 20px;"> </td> <td style="width: 20px;">-</td> <td style="width: 20px;"> </td> </tr> </table>			-																											
		-																													
c) Please provide the following additional details if you are not a Specified US Person :																															
FATCA / CRS classification for Non-financial entities (NFFE)																															
<input type="checkbox"/> Active NFFE																															

<input type="checkbox"/> Passive NFFE <u>without</u> any controlling Person
<input type="checkbox"/> Passive NFFE <u>with</u> Controlling Person(s): US <input type="checkbox"/> Others <input type="checkbox"/>
<input type="checkbox"/> Direct Reporting NFFE (Choose this if any entity has registered itself for direct reporting for FATCA and thus ACPL is not required to do the reporting) Please provide GIIN number: _____

Section 3: Classification of financial institutions (including Banks)

I/We (on behalf of the entity) certify that the entity is :

a. An entity is a U.S. financial institution Yes No

If "Yes",

(i) Please provide your Taxpayer Identification Number(TIN)

TIN/EIN									
		-							

(ii) Please provide GIIN, if any _____

If "No", please tick one of the following boxes below:

FATCA classification	Please provide the Global Intermediary Identification number (GIIN) or other information where applicable
<input type="checkbox"/> Reporting Foreign Financial Institution in a Model 1 Inter-Governmental Agreement ("IGA") Jurisdiction	
<input type="checkbox"/> Reporting Foreign Financial Institution in a Model 2 IGA Jurisdiction	
<input type="checkbox"/> Participating FFI in a Non-IGA Jurisdiction	
<input type="checkbox"/> Non-reporting FI	
<input type="checkbox"/> Non-Participating FI	
<input type="checkbox"/> Owner-Documented FI with specified US owners	

Section 4: Controlling person declaration

If you are classified as “Passive NFFE with Controlling Person(s)” or “Owner documented FFI” or “Specified US person”, please provide the following details:

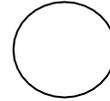
Name of controlling person	Correspondence Address	Country of residence for tax purpose	TIN	TIN issuing country	Controlling person type

Details	Controlling person 1	Controllingperson 2	Controllingperson 3	Controlling person 4	Controllingperson 5
Identification Type <i>(Refer Appendix 2)</i>					
Identification Number					
Occupation Type <i>(Refer Appendix 2)</i>					
Occupation					
Birth Date					
Nationality					
Country of Birth					

Section 5: Declaration

- (i) Under penalty of perjury, I/we certify that:
 1. The number shown on this form is the correct taxpayer identification number of the applicant, and
 2. The applicant is (i) an applicant taxable as a US person under the laws of the United States of America (“U.S.”) or any state or political subdivision thereof or therein, including the District of Columbia or any other states of the U.S., (ii) an estate the income of which is subject to U.S. federal income tax regardless of the source thereof, or
 3. The applicant is an applicant taxable as a tax resident under the laws of country outside India.
- (ii) I/We understand that the ACPL is relying on this information for the purpose of determining the status of the applicant named above in compliance with CRS/FATCA. The ACPL is not able to offer any tax advice on CRS or FATCA or its impact on the applicant. I/we shall seek advice from professional tax advisor for any tax questions.
- (iii) I/We agree to submit a new form within 30 days if any information or certification on this form gets changed.
- (iv) I/ We agree as may be required by Regulatory authorities, ACPL shall be required to comply to report, reportable details to CDBT or close or suspend my account.
- (v) I/We certify that I/we provide the information on this form and to the best of my/our knowledge and belief the certification is true, correct and complete including the tax payer identification number of the applicant.

I/We hereby confirm that details provided are accurate, correct and complete



S.V.

Authorized Signatories and Company Seal (if applicable) Name _____

_____ Date (DD/MM/YYYY) _____

Appendix I - Further instruction for completing the Self-Certification Form

(A) “passive non-financial entity” means,-

- (i) any non-financial entity which is not an active non-financial entity, or
- (ii) an investment entity described in sub-clause (B) of clause (c) of *Explanation* to clause (III) of sub-rule (5) of this rule, or
- (iii) a withholding foreign partnership or withholding foreign trust.

(B) “Passive income” includes income by way of: (i) dividends; (ii) interest; (iii) income equivalent to interest; (iv) rents and royalties (other than rents and royalties derived in the active conduct of a business conducted, at least in part, by employees of the non-financial entity); (v) annuities; (vi) the excess of gains over losses from the sale or exchange of financial assets that gives rise to the passive income; (vii) the excess of gains over losses from transactions (including futures, forwards, options, and similar transactions) in any financial assets; (viii) the excess of foreign currency gains over foreign currency losses; (ix) net income from swaps; or (x) amounts received under cash value insurance contracts:

(C) “Active non-financial entity” means any non-financial entity which meets any of the following criteria, namely:-

- (i) less than 50 per cent of the entity’s gross income for the preceding *financial year* is passive income and less than 50 per cent of the assets held by the entity during the preceding financial year are assets that produce or are held for the production of passive income; or
- (ii) the stock of the entity is regularly traded on an established securities market or the non-financial entity is a related entity of an entity the stock of which is regularly traded on an established securities market; or

Explanation- For the purpose of this sub-clause, an established securities market means an exchange that is officially recognized and supervised by a governmental authority in which the securities market is located and that has a meaningful annual value of shares traded on the exchange.

- (iii) the entity is a Governmental Entity, an International Organization, a Central Bank, or an entity wholly owned by one or more of the foregoing; or
- (iv) substantially all of the activities of the entity consist of holding (in whole or in part) the outstanding stock of, or providing financing and services to, one or more subsidiaries that engage in trades or businesses other than the business of a financial institution, except that an entity does not qualify for this status if it functions (or holds itself out) as an investment fund, such as a private equity fund, venture capital fund, leveraged buyout fund, or any investment vehicle whose purpose is to acquire or fund companies and then hold interests in those companies as capital assets for investment purposes; or
- (v) the entity is not yet operating a business and has no prior operating history, but is investing capital into assets with the intent to operate a business other than that of a financial institution, provided that the entity does not qualify for this exception after the date that is 24 months after the date of the initial organization of the entity; or
- (vi) the entity was not a financial institution in the past five years, and is in the process of liquidating its assets or is reorganizing with the intent to continue or recommence operations in a business other than that of a financial Institution; or
- (vii) the entity primarily engages in financing and hedging transactions with, or for, related entities that are not financial institutions, and does not provide financing or hedging services to any entity that is not

a related entity, provided that the group of any such related entities is primarily engaged in a business other than that of a financial institution; or

(viii) the entity meets all of the following requirements, namely:-

- (a) It is established and operated in India exclusively for religious, charitable, scientific, artistic, cultural, athletic, or educational purposes; or it is established and operated in India and it is a professional organization, business league, chamber of commerce, labour organization, agricultural or horticultural organization, civic league or an organization operated exclusively for the promotion of social welfare;
- (b) It is exempt from income-tax in India;
- (c) It has no shareholders or members who have a proprietary or beneficial interest in its income or assets;
- (d) The applicable laws of the entity's jurisdiction of residence or the entity's formation documents do not permit any income or assets of the entity to be distributed to, or applied for the benefit of, a private person or non-charitable entity other than pursuant to the conduct of the entity's charitable activities, or as payment of reasonable compensation for services rendered, or as payment representing the fair market value of property which the entity has purchased; and
- (e) The applicable laws of the entity's jurisdiction of residence or the entity's formation documents require that, upon the entity's liquidation or dissolution, all of its assets be distributed to a Governmental Entity or other non-profit organization, or escheat to the government of the entity's jurisdiction of residence or any political subdivision thereof.

(D) "Controlling person" means the natural persons who exercise control over an entity and includes a beneficial owner as defined in *Explanation* to sub-rule (1A) of rule 9 of Prevention of Money-laundering (Maintenance of Records of the Nature and Value of Transactions, the Procedure and Manner of Maintaining and Time for Furnishing Information and Verification and Maintenance of Records of the Identity of the Clients of the Banking Companies, Financial Institutions and Intermediaries) Rules, 2005.

Pursuant to guidelines on identification of Beneficial Ownership issued vide RBI circular no. DBOD.AML.BC.No.71/14.01.001/2012-13 dated January 18, 2013, persons (other than Individuals) are required to provide details of Beneficial Owner(s) ('BO'). Accordingly, the Beneficial Owner means 'Natural Person', who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest of

/ entitlements to:

- i. More than 25% of shares or capital or profits of the juridical person, where the juridical person is a company;
- ii. More than 15% of the capital or profits of the juridical person, where the juridical person is a partnership; or
- iii. More than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.

Where the client is a trust, the banking company and financial institution, as the case may be, shall identify the beneficial owners of the client and take reasonable measures to verify the identity of such persons, through the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 15% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

(ii) In cases where there exists doubt under (i) as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means.

Explanation: Control through other means can be exercised through voting rights, agreement, arrangements, etc. (iii) Where no natural person is identified under (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official..

(E) “U.S. Person” means a United States of America citizen or resident individual, a partnership or corporation organized in the United States of America or under the laws of the United States of America or any State thereof, a trust if (i) a court within the United States of America would have authority under applicable law to render orders or judgments concerning substantially all issues regarding administration of the trust, and (ii) one or more U.S. persons have the authority to control all substantial decisions of the trust, or an estate of a decedent that is a citizen or resident of the United States of America.

(F) “Specified U.S. Person” – A U.S. person other than the following:

- i. a corporation the stock of which is regularly traded on one or more established securities markets;
- ii. any corporation that is a member of the same expanded affiliated group, as defined in section 1471(e)(2) of the U.S. Internal Revenue Code, as a corporation described in clause (i);
- iii. the United States or any wholly owned agency or instrumentality thereof;
- iv. any State of the United States, any U.S. Territory, any political subdivision of any of the foregoing, or any wholly owned agency or instrumentality of any one or more of the foregoing;
- v. any organization exempt from taxation under section 501(a) of the U.S. Internal Revenue Code or an individual retirement plan as defined in section 7701(a)(37) of the U.S. Internal Revenue Code;
- vi. any bank as defined in section 581 of the U.S. Internal Revenue Code;
- vii. any real estate investment trust as defined in section 856 of the U.S. Internal Revenue Code;
- viii. any regulated investment company as defined in section 851 of the U.S. Internal Revenue Code or any entity registered with the U.S. Securities and Exchange Commission under the Investment Company Act of 1940 (15 U.S.C. 80a-64);
- ix. any common trust fund as defined in section 584(a) of the U.S. Internal Revenue Code;
- x. any trust that is exempt from tax under section 664(c) of the U.S. Internal Revenue Code or that is described in section 4947(a)(1) of the U.S. Internal Revenue Code;
- xi. a dealer in securities, commodities, or derivative financial instruments (including notional principal contracts, futures, forwards, and options) that is registered as such under the laws of the United States or any State;
- xii. a broker as defined in section 6045(c) of the U.S. Internal Revenue Code; or
- xiii. Any tax-exempt trust under a plan that is described in section 403(b) or section 457(g) of the U.S. Internal Revenue Code.

(G) Non-reporting financial institution

Non-reporting financial institutions will not have any reporting obligations under FATCA and CRS. Non-reporting financial institution means any financial institution that is,-

- (a) a Governmental Entity, International Organization or Central Bank, other than with respect to a payment that is derived from an obligation held in connection with a commercial financial activity of a type engaged in by a Specified Insurance Company, Custodial Institution, or Depository Institution;
- (b) a Treaty Qualified Retirement Fund; a Broad Participation Retirement Fund; a Narrow Participation Retirement Fund; or a Pension Fund of a Governmental Entity, International Organization or Central

Bank;

- (c) a non-public fund of the armed forces, Employees' State Insurance fund, a gratuity fund or a provident fund;
- (d) an entity that is an Indian financial institution solely because it is an investment entity, provided that each direct holder of an equity interest in the entity is a financial institution referred to in sub-clauses (a) to (c), and each directholder of a debt interest in such entity is either a depository institution (with respect to a loan made to such entity) or a financial institution referred to in sub-clauses (a) to (c);
- (e) a qualified credit card issuer;
- (f) an investment entity established in India that is a financial institution solely because it,-
 - (I) renders investment advice to, and acts on behalf of, or
 - (II) Manages portfolios for, and acts on behalf of, or
 - (III) Executes trades on behalf of a customer for the purposes of investing, managing, or administering funds or securities deposited in the name of the customer with a financial institution other than a non-participating financial institution;
- (g) an exempt collective investment vehicle;
- (h) a trust established under any law for the time being in force to the extent that the trustee of the trust is a reporting financial institution and reports all information required to be reported under rule 114G with respect to all reportable accounts of the trust;
- (i) a financial institution with a local client base;
- (j) a local bank;
- (k) a financial institution with only low-value accounts;
- (l) sponsored investment entity and controlled foreign corporation, in case of any U.S. reportable account; or
- (m) sponsored closely held investment vehicle, in case of any U.S. reportable account.

(H) Non-participating financial institution

Non-participating financial institution means a financial institution defined in clause (r) of Article 1 of the agreement between the Government of the Republic of India and the Government of the United States of America to improve international tax compliance and to implement Foreign Account Tax Compliance Act of the United States of America (herein after referred to as the FATCA agreement), but does not include,-

- (a) an Indian financial institution; or
- (b) Other jurisdiction, being a jurisdiction that has in effect an agreement with the United States of America to facilitate the implementation of Foreign Account Tax Compliance Act (herein referred to as other partner jurisdiction), financial institution, other than a financial institution treated as a non-participating financial institution.

(I) Owner Documented FFI is an FFI that meets the

following: (a) The FFI is an FFI solely because it is an investment entity;

- (b) The FFI is not owned by or in an expanded affiliated group with any FFI that is a depository

institution, custodial institution, or specified insurance company;

(c) The FFI does not maintain a financial account for any nonparticipating FFI;

(d) The FFI provides the designated withholding agent with all of the documentation and agrees to notify the withholding agent if there is a change in circumstances; and

(e) The designated withholding agent agrees to report to the IRS (or, in the case of a reporting Model 1 FFI, to the relevant foreign government or agency thereof) all of the information (as appropriate) with respect to any specified U.S. persons that are identified.

Notwithstanding the previous sentence, the designated withholding agent is not required to report information with respect to an indirect owner of the FFI that holds its interest through a participating FFI, a deemed-compliant FFI (other than an owner-documented FFI), an entity that is a U.S. person, an exempt beneficial owner, or an excepted NFFE.

Appendix 2 – Reference Codes

Entity Constitution Type		
A - Sole Proprietorship	D - Private Limited Company	G- AOP/BOI
B - Partnership Firm	E- Public Limited Company	H – Trust
C – HUF	F- Society	I – Liquidator
J – Limited Liability Partnership	K- Artificial Juridical Person	Z – Others
Entity Identification type		
T- Tax identification number	G- US Global intermediary Identification number	O - Other
C- Company Identification Number	E- Global Entity Identification Number (EIN)	
Controlling Person Type		
C01- CP of legal person – ownership	C02- CP of legal person – other means	C03- CP of legal person – senior managing official
C04- CP of legal arrangement – trust-settlor	C05 – CP of legal arrangement – trust – trustee	C06 – CP of legal arrangement – trust - protector
C07 – CP of legal arrangement – trust-beneficiary	C08 – CP of legal arrangement – trust – other	C09 – CP of legal arrangement – other- settlor equivalent

C10 – CP of legal arrangement – other-trustee equivalent	C11 – CP of legal arrangement – other-protector equivalent	C12 – CP of legal arrangement – other- beneficiary equivalent
C13 – CP of legal arrangement – other – other equivalent	C14 - Unknown	
Controlling person identification type		
A-Passport	C-Pan card	H- NREGA job card
B-Election ID card	D-ID Card	Z-Others
E-Driving License	G-UIDAI Letter	X-Not categorised
Controlling person occupation type		
S- Service	O- Others	X- Not categorised
B- Business		

DECLARATION OF BENEFICIAL OWNERSHIP

(Applicable to Company (except the company listed on a stock exchange or in case of a subsidiary of such a company), partnership firm, unincorporated association or body of individuals and trusts)

1. Name of the Customer: _____
(Company, partnership firm, unincorporated association or body of individuals and trusts)

2. Registered Number: _____

3. Registered Address: _____

The Customer as stated above hereby confirms and declares that on the below date: (Please tick the correct box)

The following natural person(s) (listed in Table below) exercise control or ultimately have a controlling ownership interest i.e. having ownership/entitlement of more than 10% (Company/ Partnership firm/LLP / Registered / Unregistered Trust)/10% (BOI/Association of person/Society) of capital/profits/property or controlling through voting rights, agreement, arrangement etc.

Sl. No.	Full Name of Beneficial owner/ controlling natural person(s)	Date Of Birth	Nationality	Address	Type of KYC Documents		Controlling ownership Interest (%)
					Identity	Address	

We certify that the facts stated above are true and correct. We undertake and agree that we will notify **ARYAKUBE CAPITAL PRIVATE LIMITED** without delay of any changes in the controlling persons, person exercising control or having controlling ownership interest in the Company, partnership firm, unincorporated association or body of individuals and trusts, as declared in the table above.

For and on behalf of [name of Company, partnership firm, unincorporated association or body of individuals and trusts]:

Signature of the Authorized Official*:

Full Name of the Authorized official:

Designation / Position:

Date:

Place:

(* The declaration should be signed by an active/designated partner in case of Partnership Firm, a trustee in case of Trust)

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We certify that the beneficial owner(s) of the said firm has/have been determined on the basis of declaration made by the abovementioned Company/Firm/Trust and the details furnished above have been verified from information, wherever available, in public domain.

(Signature of the employee)

Name:

Employee No.:

Date:

Declaration Regarding Politically Exposed Persons (PEP) Status

Date:

To Aryakube Capital Private Limited (“Lender”),
214, Cyber crown, Sec II, 2nd Floor HUDA Techno Enclave,
Madhapur, Hyderabad, Telangana, 500081, India

We hereby declare and confirm the following on behalf:

None of the Beneficial Owners (BO) mentioned in Beneficial Ownership Declaration hold or have held prominent public positions, and they are not currently classified as Politically Exposed Persons (PEP).

None of our Promoters are linked to Politically Exposed Persons (PEP) as per the definitions and regulations governing PEP status.

This declaration is made in good faith, and we undertake to inform us and the relevant authorities promptly if there are any changes in the PEP status of its Beneficial Owners or Promoters.

We further certify that the information provided in this declaration is accurate and complete to the best of my knowledge.

Yours Faithfully,

For

Authorised Representative

Name: